



# **General Counsel – Grant Program**

Presentation on  
Grants Overview  
February 13, 2013

# Trends: US Department of Education Grants to South Carolina

<b>Fed Year</b>	<b>Discretionary Grants Awarded</b>	<b>Discretionary Amount Awarded</b>	<b>Formula Grants Awarded</b>	<b>Formula Amount Awarded</b>
2009	187	\$87,272,166	62	\$1,941,556,167
2010	195	\$145,500,245	51	\$656,538,820
2011	27	\$180,739,708	40	\$504,412,272
2012	171	\$91,148,117	37	\$463,298,834
2013				\$469,333,819

Fed Year 2012 numbers are not fully updated by USED. For example, we anticipate continuation of funding for SIG approximately \$10 million.

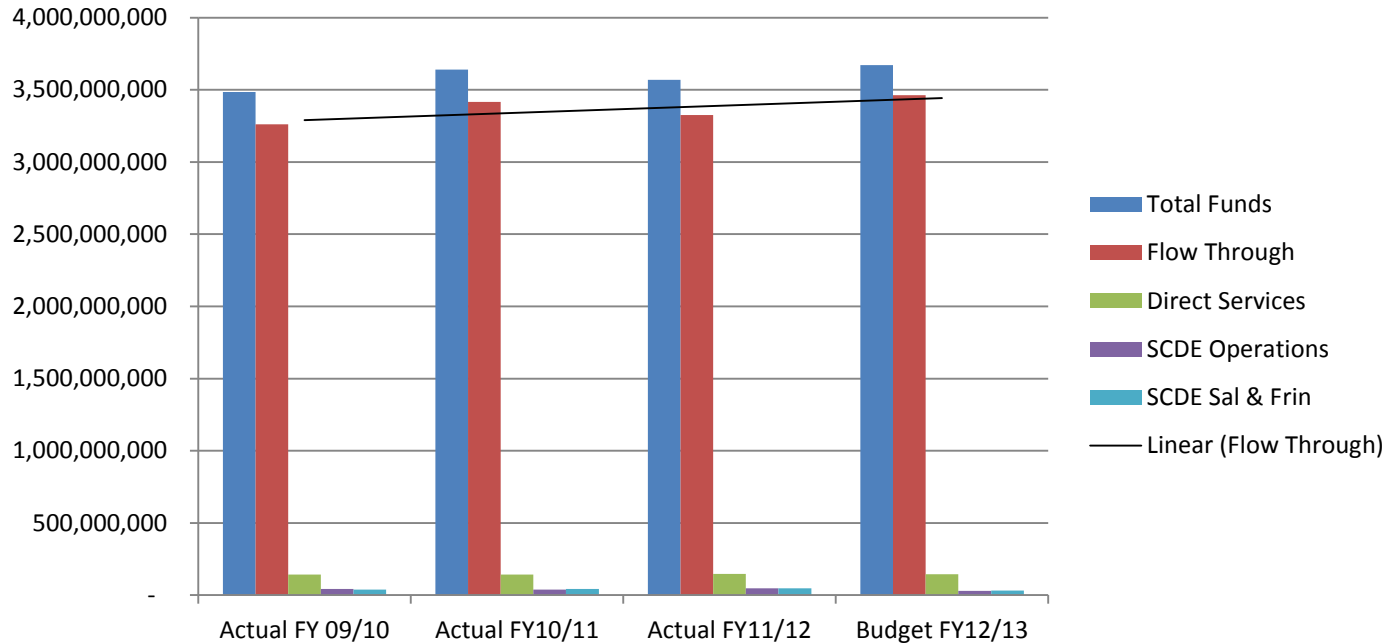
# Trends: US Department of Education Grants to SCDE

<b>Fed Year</b>	<b>Discretionary Grants Awarded</b>	<b>Discretionary Amount Awarded</b>	<b>Formula Grants Awarded</b>	<b>Formula Amount Awarded</b>	<b>% of Total USED \$ to SC</b>
2009	3	\$ 7,531,806	36	\$ 769,606,466	8.63/39.64%
2010	8	\$ 55,712,036	29	\$ 538,293,288	38.29/81.99%
2011	4	\$25,811,812	17	\$408,160,821	14.28/80.92%
2012	5	\$11,890,865	18	\$325,392,111	13.05/70.23%

Fed Year 2012 numbers are not fully updated by USED. For example, we anticipate continuation of funding for SIG approximately \$10 million.



# Trends: State Funds to SCDE

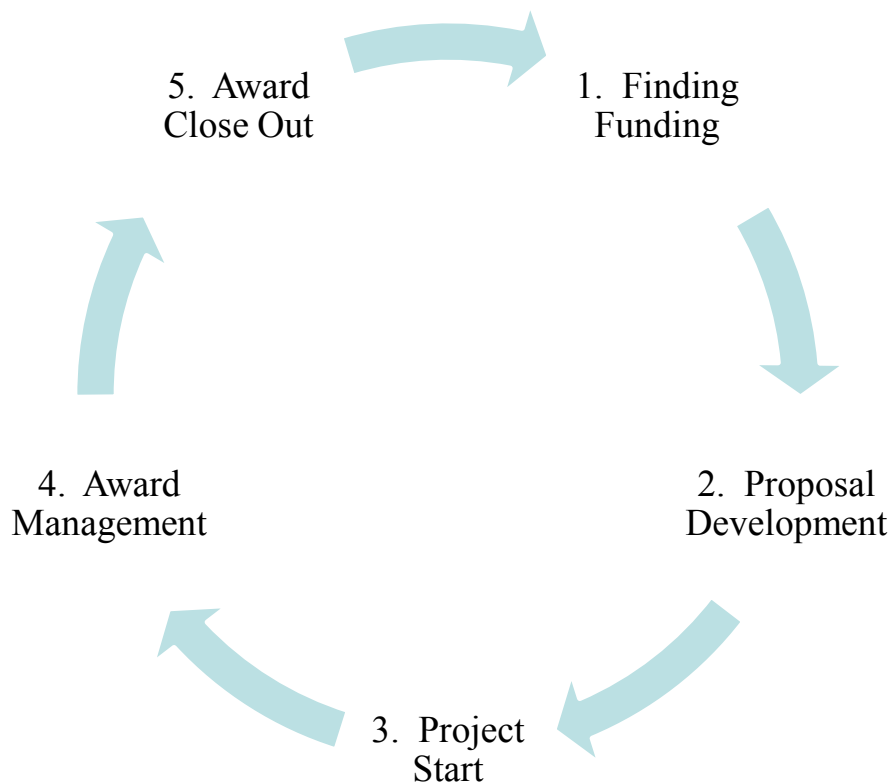


	% 09-10	% 10-11	% 11-12	% 12-13	Avg. %
Flow Through	93.57%	93.85%	93.15%	94.34%	93.73%
Direct Services	4.07%	3.92%	4.41%	3.93%	4.08%
SCDE Operations	1.23%	1.07%	1.36%	0.83%	1.12%
SCDE Sal & Frin	1.13%	1.17%	1.08%	0.90%	1.07%

**NOTE: Flow Through  
Includes Discretionary  
Funds**



# Life Cycle of Grant



**Pre-Award Phase includes:** Finding Funding and Proposal Development

**Post-Award Phase includes:** Project Start and Award Management



# 1. Finding Funding

- We employ a number of strategies to find funding:
  - Continue flow from formula funds
  - Compete for discretionary grants (new applications).  
We register with a variety of web sources such as grants.gov, grant siren, American Publishing, etc., and review the USED webpage
  - Receive continuation funding by complying with all requirements and meeting the performance indicators
  - Obtain State funding
  - Other web surfing



# Formula Funding

- Formula grant programs are **noncompetitive** awards based on a predetermined formula. These programs are sometimes referred to as state-administered programs.
- Examples:
  1. Title I, Part A of the Elementary and Secondary Education Act
  2. Title II, Improving Teacher Quality
  3. 21<sup>st</sup> Century Community Learning Centers
  4. Reading First
  5. Math and Science Program
  6. Individuals with Disabilities Education Act (IDEA)
- Activities are continuing and not confined to a specific project.



# Discretionary Funding

- Discretionary grants are awarded through a **competitive** process.
- Examples:
  1. School Improvement Grant
  2. Charter School Planning and Improvement Grant
  3. Personnel Preparation in Special Education, Early Intervention, and Related Services
  4. Teacher Incentive Fund
  5. Advanced Placement Program
- Provide funding for fixed or known periods of time for specific projects or the delivery of specific services or activity.



# Continuation Funding

- Continuation funding is based on an original funding period (i.e. 36 months).
- Examples:
  1. School Improvement Grant (36 months)
  2. School Drop Out Prevention (60 months)
  3. Charter School Planning and Improvement Grant (36 months)
  4. Gear Up (72 months)
- Funds are contingent on a grantee's progress, as provided in Section 75.253 of EDGAR, and on the availability of funding.

# State Funding

- Funding ratified by the legislature during the annual budget cycle.
- Examples:
  1. Education Improvement Act (EIA) Funds
  2. Individuals with Disabilities Education Act (IDEA) Contingency Reserve
  3. Arts Curricular Innovation Grants
  4. Education Economic Development Act (EEDA) Summer Academic and Career Development
  5. Drop Out Prevention and High Schools That Work
  6. Certified Staff Technology Proficiency
- Funds are provided on an annual basis for the purposes stated in the proviso. Funds can be made available via competition.

## 2. Proposal Development

- The following are components/activities that occur during the proposal phase:
  - Plan the project/or subgrantee plans
  - Create the project/proposal checklist
  - Respond or create the request for proposal (RFP)
  - Route proposal for approval
  - Submit to funder/subgrantee and monitor

**NOTE:** Many times SCDE is the middle man and develops RFP's for LEA's, non-profits and higher education to create competition.



### 3. Project Start-Up

- Receive award notification
- Determine if funding is pass through (Formula or Discretionary)
- If competition is required, initiate RFP development
- If formula, determine the allocations and award
- If direct award, implement with fidelity (i.e. Cradle to Career)
- If state funded, pass through or create competition



## 4. Award Management

- Award Management entails:
    - Conducting technical assistance sessions
    - Approving and monitoring the budget to actual
    - Ensuring that program/services are implemented as outlined in the proposal
    - Processing requests for reimbursement
    - Receiving and reviewing progress reports
    - Conducting monitoring reviews and review audit reports
    - Ensuring compliance with all applicable rules, regulations, state law, and procedures
    - Enforcing responsibilities including termination, if needed
- \*\*\*\*Communicate, communicate, meet, discuss, monitor, document

## 5. Award Close Out

- Award Close out entails:
  - Processing final reimbursement request
  - Accounting for all equipment and determine disposition needs
  - De-obligating all funds
  - Receiving and approving all required programmatic and financial reports
  - Closing out with Finance all project activity
  - Submitting all required documents to the funder
  - If possible, share outcomes and lessons learned for future funding opportunities
  - Inspecting records for completeness
  - Retaining and archiving according to regulation or procedure